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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450, U.S.A.



Utility Patent Application Transmittal

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No: 50587-01 US

Date: June 26, 2003

First Inventor: Shen-En QIAN

Title: Method and System for Compressing a Continuous Data
Flow in Real-Time Using Recursive Hierarchical Self-
Organizing Cluster Vector Quantization (HSOCVQ)

Application Elements:

1. ☒ Fee Calculation (see Box 14)
2. ☐ Applicant claims small entity status.
3. ☒ Specification Total Pages - 30
 - Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) - (6 Figures) Total Sheets - 8
5. ☒ Oath or Declaration Total Pages - 2
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Unexecuted
 - c. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 12 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in
the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

Accompanying Application Parts:

6. ☒ Assignment Papers (cover sheet & document(s))
7. ☐ Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations ☐
8. ☐ Preliminary Amendment
9. ☒ Return Receipt Postcard *(Should be specifically itemized)*
10. ☐ Certified Copy of Priority Document(s) *(if foreign priority is claimed)*
11. ☐ Other:

12. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation of prior Application No. ☐ Divisional filed ☐ Continuation-in-part (CIP)

Prior application information: Examiner: Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon which a portion has been inadvertently omitted from the submitted application parts.

13. CORRESPONDENCE ADDRESS:


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14. FEE CALCULATION:

<input type="checkbox"/> Applicant Claims Small Entity Status						AMOUNT
1. BASIC FILING FEE						
Large Entity			Small Entity			
Fee Code	Fee (\$)		Fee Code	Fee (\$)		
101	750.00		201	375.00		\$750.00
2. EXTRA CLAIM FEES						
Total Claims			31 - 20 =	11	x \$18.00 =	\$198.00
Independent Claims			6 - 3 =	3	x \$84.00 =	\$252.00
Multiple Dependent						\$** =
Large Entity			Small Entity			
Fee Code	Fee (\$)		Fee Code	Fee (\$)		
103	18.00		203	9.00		
102	84.00		202	42.00		
3. OTHER						
Fee Code - 581	Fee (\$) - 40.00		Recording Patent Assignment			\$40.00
TOTAL						\$1240.00

15. ☒ The Commissioner is hereby authorized to charge all fees in Box 14 above to Deposit Account 50-1142.
16. ☒ The Commissioner is hereby authorized to charge any additional fees required or credit any overpayment to Deposit Account No. 50-1142.

Submitted By


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